

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Kawecki et al.	Examiner:	Simon P. Sing
Serial No.:	08/991,281	Group Art Unit:	2614
Filed:	December 16, 1997	Docket No.:	112642 / 60027.5251US01
Title:	Method and Apparatus for Controlling Routing of Calls to Pay Services		

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. § 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

I. Compliance with 37 C.F.R. § 1.97**☒ A. 1.97(b)**

☐ i. This statement is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d).

☐ ii. This statement is submitted before the mailing date of a first Office Action on-the-merits.

☒ iii. This statement is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d).

☒ iv. No Fee or Certification is due for consideration of the items listed on the enclosed Form 1449.

☐ B. 1.97(c)

☐ i. This statement is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application.

☐ a. Enclosed is a credit card authorization in the amount of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

☐ b. No fee is required for consideration of the items listed on the enclosed Form 1449.

☐ 1. Certification Under 37 C.F.R. §1.97(e)(1) or 1.97(e)(2) is provided.

☐ C. 1.97(d)

☐ i. This statement is submitted after the mailing date of a final action under 37 C.F.R. § 1.113 or after the mailing date of the Notice of Allowance under 37 C.F.R. § 1.311 or after any other action that closes prosecution on the application, but before the payment of the issue fee. This statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

☐ a. Enclosed is a credit card authorization in the amount of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

☐ 1. Certification Under 37 C.F.R. §1.97(e)(1) or 1.97(e)(2) is provided.

II. Certification Under 37 C.F.R. §1.97(e)(1) or 1.97(e)(2)

☐ A. Certification Under 37 C.F.R. §1.97(e)(1): In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

☐ B. Certification Under 37 C.F.R. §1.97(e)(2): In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

III. Compliance with 37 C.F.R. 1.98

- ☒ A. In accordance with 37 C.F.R. §1.98(d), copies of any U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.
- ☒ B. In accordance with 37 C.F.R. §1.98(a)(2), a copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed.
- ☐ C. In accordance with 37 C.F.R. §1.98(d)(1) and 1.98(d)(2), documents listed on the Form 1449 for which copies are required under 37 C.F.R. §1.98(a), but are currently not provided, have been previously submitted in earlier U.S. Application No. _____ for which the above-identified application relies on the earlier effective filing date under 35 U.S.C. §120. A copy of any "Other Document" listed on the Form 1449 not previously provided in the earlier application is enclosed in accordance with 37 C.F.R. §1.98(a)(2).
- ☐ D. In accordance with 37 C.F.R. §1.98(a)(3)(i), a concise explanation of the relevance of each Reference, namely reference _____, that is not in the English language is provided: _____.

IV. Other

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and pursuant to 37 C.F.R. § 1.131 or otherwise, the right to establish that the reference(s) are not "prior art" is reserved. Moreover, no representation is made that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3634. If the Examiner has any questions or comments concerning this matter, the Examiner is invited to contact the Assignee's undersigned attorney at (404) 815-1900.

Respectfully submitted,

HOPE BALDAUFF HARTMAN, LLC

/Jodi L. Hartman/

Jodi L. Hartman
Reg. No. 55,251

Date: January 31, 2012

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PATENT TRADEMARK OFFICE